



# **(Amplifying) Photo Detectors:**

# **Avalanche Photodiodes Silicon Photomultiplier**

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- § Reminder: Classical Photomultiplier
- **APD Working Principle**
- § Classical SiPM
	- Working Principle & Properties
	- Recent Developments
- SiPMs in CMOS Technologies
- Applications
	- Mainly work of my own groups



# **PHOTO MULTIPLIER TUBE**

### 'Classical' Photomultipliers





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### PMT Working Principle 'Artists View'





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## **Photomultipliers**

- § 10 -14 'Dynodes'
- Amplification 10<sup>6</sup> 10<sup>7</sup>  $\sim$
- Signal is  $\sim$  proportional to # photons
- Mostly round,  $\varnothing$  from 1...50 cm
- § Segmented anodes available ('Multi Anode PMT'):





**Hamamatsu** 

Multi-Anode PMT Hamamatsu H8500D  $5 \times 5$  cm<sup>2</sup>,  $8 \times 8$  pixels 12 Dynodes 185 nm…650 nm Gain 1.5 × 106

### Photomultiplier Pros & Cons

#### § Pros

- Single Photon sensitivity
- Low 'dark noise' (e.g. hits with no photons)
- UV and IR sensitive (depends on window and photocathode)
- Fast (rise time < ns)

#### § Cons

- Mechanically sensitive, breakable
- Expensive (but not per area!)
- Need high voltage (kV) / large power (divider for dynode volt.)
- Large
- Sensitive to (even low) magnetic fields
	- Depends on orientation wrt field
	- Can be a KILLER for many applications (HEP experiments often have strong magnets, MRT, …)

## Example: Very Large PMTs at Super-Kamiokande

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# **AVALANCHE PHOTO DIODES (APDS)**

#### HEIDELBERG The Idea § Create a region with very high field by strong doping & external voltage amplification strong n doping strong n doping region strong p doping  $\mathcal{N}$ eak p doping weak p doping **Collection** region  $\mathbf{\underline{\mathsf{L}}}\mathbf{\underline{\mathsf{L}}}$ E

- Carriers drift from the depletion (collection) region to the amplification region
- Charge carriers are accelerated and create secondary ionization  $\rightarrow$  an *avalanche* is created, leading to a large charge (10<sup>5</sup>-10<sup>6</sup> eh pairs)
- n<sup>+</sup>/p<sup>+</sup>/p<sup>-</sup> or p<sup>+</sup>/n<sup>+</sup>/n<sup>-</sup> structure possible (amplify via electrons / holes)
- § Some issues:

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- Field strength must stay below breakdown. Critical at edges
- Photon feedback can keep avalanche 'burning'. It must be stopped by lowering the voltage on the device

### Field in Avalanche Diode (100V / 400V)



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### APD Construction

- § Absorption / Drift region should be thick (sensitivity)
- **Example 1** High field region is created by strongly doped pn-junction
	- High field must still be below Si-breakdown  $(3 \times 10^7 \text{ V/m})$
	- Typical field  $\sim$ 10<sup>7</sup> V/m = 10<sup>5</sup> V/cm = 10 V/µm



## Sensitivity (for photons)

- **Device is sensitive for photons 'only' in depletion region** (DR) (some carriers may be seen by diffusion)
	- DR starts in some depth, given by depth of (here)  $p^+$  implant
	- DR ends somewhere in the p- region (depending on bias)



- Photon illumination is normally from the top
	- UV photons are absorbed at the surface and may not reach the DR (jargon: 'dead layer')
	- IR photons may be absorbed 'below' the DR

#### Edge Breakdown

• At the edge of the high field region, the field changes from 'parallel plate' to '1/r'. This produces highest field at the *edge*. There may be breakdown at the edge before the area reaches amplification!



• The usual solutions is a lower doped region at the edge ('guard ring'):



## Effect or Guard Ring

#### • Compare structures without / with guard



From: Lee, Rücker, Choi:

Effects of Guard-Ring Structures on the Performance of Silicon

Avalanche Photodetectors Fabricated With Standard CMOS Technology

IEEE Electron Device Letter, Volume: 33 , Issue: 1, Pages: 80 - 82

- § **Linear (Proportional) Mode** 
	- Bias is below , breakdown voltage'
	- Moderate Gain  $\sim 10^{1}$ -10<sup>3</sup>
	- Signal is *proportional to number* of photons
	- Required for instance in Calorimetry (measure scintillation light)

#### § **Geiger Mode = Photon Counting Mode**

- Bias voltage is (slightly) above breakdown voltage
- Single photons lead to 'infinite' signal (by re-triggering through (photon) feedback mechanisms)
- Very high gain  $\sim 10^6$
- Signal is *independent* of primary # of photons
- Needs 'quenching' circuit to lower bias voltage after a hit to stop the avalanche
- APD is insensitive after 'quenching' (until HV is back again)

## Quenching Methods (Geiger Mode)

- **Passive quenching with series resistor:** 
	- The large signal current leads to a voltage drop at the bias resistor so that HV lowers



**• Active quenching:** 

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- A circuit detects a hit
- A switch (transistor) lowers the voltage
- Better control
- Lower 'afterpulsing'
- But more complicated



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## Summary: Pros/Cons of of APDs

- § Operation at low gain ('*linear mode')* 
	- signal is proportional to primary charge, i.e. we get a pulse height information.
	- But gain is lower
- § Operation at high gain ('*Geiger Mode*')
	- High gain / sensitivity
	- No amplitude information
	- HV must be lowered when a signal occurs (normally with series resistor)
	- This leads to long dead times
- A single defect kills to hole device!
	- Large area APDs are very expensive (>1000€)
- HV setting is delicate
	- Changes with temperature





# **SILICON PHOTO MULTIPLIERS (SIPM, MPPC, SI-SSPM…)**

# Idea SiPM

- § Problem of APD: gain is *low* in (often preferred) *linear mode* Therefore:
- § Add *many APDs in parallel* with *separate quench resistors*
- § Each SPAD (Single Photon APD) works in *Geiger Mode*
- § Breakdown of a *single* SPAD creates only a *small signal*
- § The *total signal* is *proportional* to the number of fired cells, i.e. *to the number of detected photons*
- § Devices are called
	- SiPM: Silicon Photo Multipliers
	- MPPC: Multi-Photon Pixel Counter
	- Si-SSPM: Silicon Solid State PMT
	- $\bullet$  ...
	- (name depends on vendor..)



### SiPM Geometry

- SPAD cell size is in the order of  $50 \times 50 \mu m^2$ 
	- $\cdot \rightarrow \sim 10^2$  -10<sup>3</sup> SPADs per mm<sup>2</sup>
- **Device area can be up to 8**  $\times$  **8 mm<sup>2</sup>** 
	- > 10.000 SPADs
	- *Single* cell/photon signal becomes very small for large SiPM!



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## Properties SiPMs

- + Each SPAD operates in Geiger mode  $\omega$  highest gain ( $\rightarrow$  sensitivity!)
- + Output = Sum of individual signals, i.e. proportional to # of fired cells!
- + Only fired SPADs are insensitive after a hit until they recharge
- + No external resistor/quenching required
- + Fault tolerant to single bad SPADs
- Fill factor is reduced (resistors, guard structures

 $1mm$ 经营业 醛 Early SiPM Detail view of modern SiPM **Example 2.5 x 2.5mm**<sup>2</sup>



#### Quench Resistor

- Mostly Poly-Silicon
- Resistor value critical for operation
- § Manufacturing is difficult: strong dependence of sheet resistance on doping concentration



## Breakdown Voltage, Gain

- When  $V_{bias} > V_{BR}$  (the breakdown voltage), the device starts to amplify
- $\blacktriangleright$  V<sub>BR</sub> depends on temperature
- The interesting quantity defining gain is the overvoltage

$$
\Delta V = V_{\text{OV}} := V_{\text{bias}} - V_{\text{BR}}
$$

A firing cell will develop an avalanche until  $V_{bias}$  drops to  $V_{BR}$ , i.e.:

an avalanche discharges a cell by ΔV

- The charge needed for this is just  $Q = C_{cell} \times \Delta V$ .
- Because this charge is generated starting with one electron (charge q), the gain is just g =  $Q/q$  an thus  $\sim \Delta V$
- § (Small) cells with small capacitance need lower gain and typically have less dark counts

## Signals of multiple photons

- A SiPM can resolve the number of photons = number of fired cells
	- This works if each firing cell produces exactly the same signal at the SiPM terminals





- Each cell has
	- Diode capacitance  $C_d$  (of SPAD)
	- Quenching resistor  $R_{q}$
	- A parasitic capacitor  $C_q$  between SPAD and bias line
- The firing can be modeled by a current spike which discharges  $C_d$  from the overvoltage until the discharge stops
- The parasitic C<sub>q</sub> capacitor is **very** important to make the discharge current visible as a voltage signal!!!
	- Not further discussed here in details… sorry

Overvoltage = 3…6 V

**SiPM ITC-irst** 

N=625. Vbias=35V

393 kQ

31 2 V

 $175.5 \text{ fC}$ 

34 6 fF

 $12.2 \text{ fF}$ 

 $27.8$  pF

Typical values:

Model

Ra

Vbr

O

Cd

 $Cq$ 

 $Cg$ 

parameter

## Signals of one cell for small / large SiPM

- § The N-1 'other' cells are a (capacitive) load to the 'firing' cell
- Signal shape depends on
	- N (area of device)
	- termination resistor (here 50 Ohm)



Images by Claudio Piemonte (PBK)

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§ Depends on size of Micro Cells (smaller -> more edge -> worse fill factor)



From SensL Overview Article

#### Figure 11, Typical fill factors and microcell numbers for a 1mm sensor from the C-Series product family.

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## Photon Detection Efficiency (PDE)

- = Fraction of detected photons. Depends on
	- Fraction of really sensitive area (cell-cell isolation, losses from traces, resistor)
	- Photon reflexion at surface  $(\rightarrow$  Anti Reflex Coating, ARC)
	- Probability for Photon-Absorption (depends on wavelength) < 1
	- Probability to trigger an avalanche < 1
	- Dead time after a pulse or a dark hit (up-charging)
- PDE increases with overvoltage (but noise also increases!)



### Spectral Sensitivity

#### • Another Example PDE vs.  $\lambda$  (on active area, must add fill factor!):



(Two types of SiPMs from FBK, Trento)



- Fired cells cannot fire again (in short time)
- This reduces the 'detected' signal for many photons
	- Largest signal is obviously = # of SPAD cells
	- SiPMs with many cells are better here (but have smaller PDE)
- § This effect makes amplitude spectra artificially 'narrow'
	- Must be corrected for



On average:

$$
N_{seen} = N \left( 1 - e^{-\frac{N_{fixed}}{N}} \right)
$$

## (Linearity Formula: Derivation)

- **E** Assume **N** cells on the detection area
- $\blacksquare$  The probability of a cell to fire when hit by a photon is  $\varepsilon$ .
- We drop k Photons on the detection area.
- § We look what happens in one particular cell, say, cell 13:
	- The *probability* that *one* photon fires *that* cell is ε/N.
	- The prob. to *not* hit *that* cell by the one photon is 1-ε/N
	- The prob. to **not** hit *that* cell by *all k* photons is (1-ε/N)^k
	- Therefore the prob. to *hit* that cell by any of the k photon is  $1-(1-\epsilon/N)^{k}$ .
- § We add up this probability for all N cells. Therefore, the average number of hit cells is N times this value:

$$
\leq \text{Signal}(k) > \ = \ \mathbf{N} \left( \mathbf{1} - \left( \mathbf{1} - \frac{\epsilon}{N} \right)^k \right)
$$

**For large N and small ε, this converges to**  $N\left(1 - \frac{\epsilon K}{N}\right)$ 

#### Temperature Dependence

- Breakdown voltage rises ~linear with temperature
	- This leads to a gain shift
	- Strong effect: Needs correction



#### Time Behavior

- § **Very fast!**
- Rise time <1ns (depends on readout circuit)
- Recovery time ~ 70ns
- At very fast recovery: After-pulsing / Crosstalk (?)



## Noise / Dark Count Rate (DCR)

- § Thermally generated electrons ('leakage current') can trigger avalanches
- Their # depends on depleted volume and thus of  $\sqrt{\Delta V}$
- **Depends on temperature (low T**  $\rightarrow$  **low leakage current)**
- **Depends on gain (high gain**  $\rightarrow$  **higher trigger probability)**
- Typical DCR are  $\sim$ 100 KHz 1 MHz / mm<sup>2</sup>



## Summary SiPM

Pros

- § High Sensitivity (higher QE than 'most' classical PMTs)
- Linear Signal (up to saturation limit)
- **Low Bias voltage**
- **Insensitive to magnetic field**
- § Small
- Cheap (?, not for large area)
- Short recovery time

Drawback:

- **Example 1 Larger dark noise wrt. PMT**
- Small electrical signal requires amplifier
- Requires control of temperature
#### Not discussed here

- § Noise in avalanche process
- § Crosstalk between pixels (an avalanche in one SPAD creates photons which trigger another SPAD)
- After-pulsing (similar, but delayed)
- **Timing jitter from SPAD to SPAD**
- **Homogeneity of parameters (overvoltage)**

 $\blacksquare$ 



# **NOVEL / FUTURE DEVICE VARIATIONS**

# New Development: Avalanche Drift Diode

- § Carriers first *drift laterally* to an amplification region
	- Device is sideward depleted

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- Electrons first drift 'vertically' to potential minimum, then laterally
- Only small avalanche regions
- large area, full depletion  $\rightarrow$  very high PDE  $> 80\%$
- Bad time resolution (drift time depends on position)
- High dark rate (large depleted volume, 'more than needed')



# New Development: Vertikal, SiMPI' (@ HLL Munich)

- § Quench resistor is vertical to backside of device
	- Value is given by device area, wafer thickness, bulk resistivity
- p<sup>+</sup> und n<sup>+</sup> electrodes on array are also contacts
- Cells are isolated by depletion regions



- Requires very thin (50-100µm) wafers
- § Very high fill factor!
- Very simple technology (except thin wafer..)
- Backside contact !  $\rightarrow$  Could flip readout chip

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# SiMPI Wafer



#### § Pros

- Very high fill factor
- Simple technology, no Polysilicon required, coarse lithography  $\rightarrow$  high yield, low cost

#### § Cons

- Vertical quench resistor - Depends on wafer thickness  $\rightarrow$ thin wafers for small SPAD - is a JFET  $\rightarrow$  rel. large recovery times
- Work in progress (@2012). Further improvements expected.



**APPLICATIONS:** 

**(CALORIMETRY), PET** 

#### Time Resolution with Crystals

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## Application: Calorimeter

- Calorimeters measure the energy of particles
- These are stopped in an absorber (by electromagnetic and strong interactions)
- § Absorbers are often *scintillators* which produce light proportional to deposited energy
- **East Light must be detected** 
	- In magnetic field
	- Fast
	- Many channels





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# Application: Tomography (PET)

- Detection of scintillation photons (von 511 keV  $\gamma$ )
- **Time resolution required** 
	- For coincidence: some 5-10 ns
	- For time of flight: some 100 ps
- § Compact
- § Works in magnetic field (MRI)





Tomograghgeometrie mit 45 Detektormodulen

### Positron Emission Tomography (PET)



#### Gamma Detection Module

#### ■ We have built a very compact module for detection of 511 keV gammas:



### Backside: SiPM Arrays

§ Challenging assembly!



#### Performance in 1:1 coupling



- CRT  $\sim$ 210 ps (@ 30°C) is State of the Art!
	- Note that this corresponds to a single channel sigma of ~65 ps!

#### Direct Time-of-Flight Measurements



#### Position Resolution

#### ■ 8 x 8 SiPMs, crystals of 1.3 x 1.3 x 10mm<sup>3</sup>, Simple 2D Gauss fit.



main fraction of the signal triggers its neighbors. Silicon Detectors – Photo Detectors © P. Fischer, ziti, Uni Heidelberg, Seite 54





# **MAKING SIPMS POSITION SENSITIVE**



- Define regions with different fraction of SPADs assigned to 2 outputs
- **Example 21 Linear 'SeSP' (Sensitivity Encoded SiPM)**



V. Schulz et al., Sensitivity encoded silicon photomultiplier—a new sensor for high-resolution PET-MRI, Phys. Med. Biol. 58 (2013) 4733–4748



#### ■ Extension to 2D device



§ Works in principle. BUT: Crystals must be placed very precisely…

Omidvari & Schulz: Characterization of SESP with 1-D and 2-D Encoding for high Resolution PET/MR, IEEE TNS, Vol. 62, No. 3, June 2015

#### ■ Find more 'general' assignments of SPADs to readout channels ('colours')



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■ Get a *corresponding* **reconstruction function** to find (x,y) from the 4 $S_{i,j}$ .

Here: 
$$
\overrightarrow{r}_{rek} = \sum_{i,j} S_{i,j} \overrightarrow{C}_{i,j}
$$
 (center of Gravity, CoG)

§ Note: Other functions & only 3 corners are possible!

ISiPM Idea



- Assign each SiPM cell to **one** of  $N = 4$  corners ( $\blacksquare$ ,  $\blacksquare$ ,  $\blacksquare$ )
- § Do this such that the *local* density of cells matches the Weight Function(s)

'as good as possible'…



# Algorithm to Obtain Cell Assignment

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# Larger Maps (100 × 100)



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### Systematic Reconstruction Error

- § *Discretized* weight function → *systematic* reconstruction errors
- Use square photon clusters ('crystals') for simple study



# A: Systematic Reconstruction Error vs. Cluster Size

#### ■ Example: ISiPM with 40 × 40 cells



Averaged over all (integer) cluster positions fully on SiPM (σ given in % of SiPM size)

# Systematic Reconstruction Error vs. Cluster Size

- § How small crystals can we identify?
	- Crystals with pitch p can be identified if  $\sigma_{Err}$  « p



# ISiPM Mapping is 'smoother' than 'Stripe' Mapping:

Resolutions [%] vs. Cluster Width [%] 12 **30 × 30 30 × 30**   $\overline{2}$ 0 10 20 30 60 70 40 50 ٠o  $\ll\,\gg\,\gg$  $\mathbb N$  $*$  and  $*$  $N \times 1$  $*****$  $1 X X X X X X$  $\mathbb{X}$   $\mathbb{X}$   $\mathbb{X}$   $\mathbb{X}$   $\mathbb{X}$   $\mathbb{X}$  $**$   $**$   $**$   $*$  $1 X X X X X X$ \*\*\*\*\*\*  $\cancel{\ll}$  $\mathscr{A} \mathscr{A}$  $\frac{1}{2}$ 

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# Putting all together: Flood Map Simulation

- Example for device simulation:
	- ISiPM with 100<sup>2</sup> cells (e.g. 7.4 mm<sup>2</sup>)
	- crystals of  $12 \times 12$  cells (0.9 mm<sup>2</sup>)
	- Array of 7 × 7 crystals (tilted to show robustness)
	- Fire 250 random cells / crystal
	- noise per corner: 2 cells (rms)
- Circles  $\bigcirc$  show systematic, (known) offsets
- $\blacksquare$  Hit is associated to closest $\bigcirc$
- 7% of hits are associated to wrong crystal (offset by 1)



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# **MAKING REAL DEVICES…**

## Technology Limitations

With **one** available metal layer



- large capacitances, series resistance

- short circuit risk, crosstalk
- area loss

With **two** available metal layers



- + higher fill factor
- + less crosstalk

## One Metal Layer Design

#### § Fabricated at FBK, Trento, Italy

■ Frame Layout & Assignment by Hi



#### The First Test….



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# Array of 2 mm Crystals

- No source (natural LYSO radioactivity, mix of many amplitudes.)
- § No cuts on data (energy, odd events)



#### § Observation: Positions compressed to center

# Array of 1.3 mm Crystals





10k events, integral, COG, *No* cuts.

- 1.3mm crystals can still be resolved on 7.4mm device with 100<sup>2</sup> cells
- § Note: Scope Trigger favors one corner!

# A Better & Faster Setup

- § Use discrete ADCs & USB readout
- § 'Fair' trigger


# 1.0 mm LYSO Crystals

- § Flood map: Place source over array, plot reconstructed **COGs**
- **1 mm very clearly resolved** (~ 7.4mm active area)







#### Sub-mm Resolution and DOI

#### ■ Stack 1mm crystals (very improvised, but it works!)



- 0.7mm distance clearly resolved !
- DOI ('Depth of Interaction') works !



#### ■ Professor

- $\blacksquare$  I tried…
- 

#### Patentansprüche

■ After man<sup>1.</sup> Ortsempfindlicher Detektor zur Detektion von<br>Photonen- oder Teilchenverteilungen, mit - einer Detektor-Empfangsfläche (1), die durch mehrere Detektorzellen (2) aus einzelnen Detektorelementen gebildet ist, und - einer Anzahl N an Auslesekanälen (5) für die Detektorzellen (2), die geringer als die Anzahl an Detektorzellen (2) ist, - wobei jede für die Detektion genutzte Detektorzelle (2) wenigstens einem der Auslesekanäle (5) zugeordnet und mit diesem verbunden ist, und - die Zuordnung der Detektorzellen (2) zu den Auslesekanälen (5) derart gewählt ist, dass aus Signalen der Auslesekanäle (5) die Position eines Schwerpunktes einer auf die Detektor-Empfangsfläche (1) auftreffenden Photonen- oder Teilchenverteilung bestimmt werden kann.

This restriction was a big mistake

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# Competition: Linear Graded SiPM (LG-SiPM)

- § Collect row/column contributions with (equal) *resistors* in pixels
- Generate linear gradients in x- and y in periphery
- § More complicated, but one pixel ChD already is reconstructed correctly! Cha



A. Gola*, A. Ferri, A. Tarolli, N. Zorzi, C. Piemonte:* 

A Novel Approach to Position-Sensitive Silicon Photomultipliers: First Results



# **SIPM IN A CMOS TECHNOLOGY**

# The Fraunhofer SPAD process

§ Fraunhofer Institute IMS (Duisburg, Germany) has modified their in-house 0.35µm 4M2P-CMOS technology to obtain good SPADs





- Very encouraging properties were published:
	- Low Dark Count Rate ('DCR')  $($  ~ 20kcps / mm<sup>2</sup>  $@$  RT)
	- Good uniformity (~ 95% SPADs have similar DCR)
	- Low after pulsing (< 1%)
	- Good Photon Detection Efficiency ('PDE')(~ 40% for blue light)

# SPAD Readout: 4 Options



#### Pixel Architecture



(slightly simplified schematic)

#### Chip Photo: Pixel Array



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# Chip Photo: Top



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#### Overall Architecture IPD1



- Design bug: x-addressing in matrix forgotten  $\rightarrow$  can only kill full rows
- All other tested parts work as expected



# Overall Architecture IPD2



# First test setup

- § 'quick & dirty': recycle FPGA board from our group….
- no cooling  $\rightarrow$  run mostly @ ~30°C
- § 'low' data rate (USB2.0)





# 'First Light'…

- Cover Chip with Alu-Mask with rectangular hole
- **Illuminate Chip during integration window**



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## DCR at Various Overvoltages

• Overvoltage =  $OV = 4,5,6,7$  V, Measured @ 20°C (DCR is lower when cold)

■ DCR is referred to useable **SPAD** area (inner border of M1 shield)





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# **Multiplicity Rates**

- § Chip generates a *true* multiplicity of ColumnOR signals (i.e. groups of 88)
- § Rates depend *strongly* on Coincidence *time window* (set by pixel monoflop)



Rates in Hz,  $T \sim 30^{\circ}$ C, OV = 4.0 V

- **No** pixels killed in this measurement
- Can reach very low noise trigger rates of ~Hz!
- Measurement agrees quite well with theory
- § Issue: dispersion in coincidence times (improved in IDP2)





Setup & Measurements by Manfred Kirchgessner and Michael Schork

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### Laser Scan: 2D Response

- Scan over region of 1.5  $\times$  2.0 pixels in 30  $\times$  40 steps ( $\sim$  2.8 µm / Step)
- Plot # hits in one pixel for 3000 laser shots ( $\sim 4V$  overvoltage,  $I_{SPAD} \sim 6\mu A$ )
	- Notes: still need to calibrate x-y-steps better & run @ lower intensitiy..



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# LYSO Arrays with VERY small pitches

- § Crystal Pitches: 0.33 / 0.48 / 0.88 mm, height = 10 mm
- 65µm thick 'Enhanced Specular Reflector' foils



# LYSO Arrays with 0.48 mm pitch (!)

- Measured at  $\sim$ 30 $^{\circ}$ C, OV = 3 V
- Trigger on Mult ≥ 4, 200 ns integration







Overlay of 20k events **Single events** 



